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PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

✓ 1745
\$

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/986,635	
	Filing Date	November 9, 2001	
	First Named Inventor	Nathaniel Ian Joos	
	Art Unit	1745	
	Examiner Name	Carol Diane chaney	
Total Number of Pages in This Submission		Attorney Docket Number	9351-15

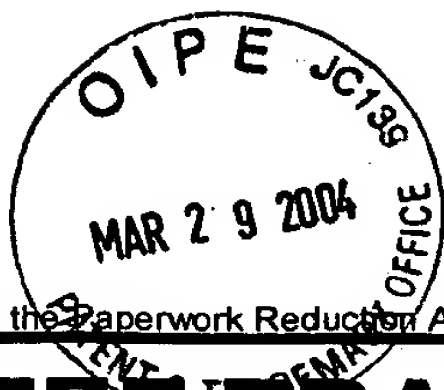
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p style="text-align: center;">Letter to Commissioner</p>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	BERESKIN & PARR, H. Samuel Frost, Registration No. 31,696
Signature	
Date	March 26, 2004

CERTIFICATE OF MAILING			
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Signature		Date	

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 950.00

Complete if Known

Application Number	09/986,635
Filing Date	November 9, 2001
First Named Inventor	Nathaniel Ian Joos
Examiner Name	Carol Diane Chaney
Art Unit	1745
Attorney Docket No.	9351-15

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

022095

Bereskin & Parr

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims Independent Claims Multiple Dependent

Extra Claims Fee from below Fee Paid

20 * = 0.00

3 * = 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130		2051 65		Surcharge - late filing fee or oath	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130		1053 130		Non-English specification	
1812 2,520		1812 2,520		For filing a request for <i>ex parte</i> reexamination	
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110		2251 55		Extension for reply within first month	
1252 420		2252 210		Extension for reply within second month	
1253 950		2253 475		Extension for reply within third month	950.00
1254 1,480		2254 740		Extension for reply within fourth month	
1255 2,010		2255 1,005		Extension for reply within fifth month	
1401 330		2401 165		Notice of Appeal	
1402 330		2402 165		Filing a brief in support of an appeal	
1403 290		2403 145		Request for oral hearing	
1451 1,510		1451 1,510		Petition to institute a public use proceeding	
1452 110		2452 55		Petition to revive - unavoidable	
1453 1,330		2453 665		Petition to revive - unintentional	
1501 1,330		2501 665		Utility issue fee (or reissue)	
1502 480		2502 240		Design issue fee	
1503 640		2503 320		Plant issue fee	
1460 130		1460 130		Petitions to the Commissioner	
1807 50		1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180		1806 180		Submission of Information Disclosure Stmt	
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 770		2809 385		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770		2810 385		For each additional invention to be examined (37 CFR 1.129(b))	
1801 770		2801 385		Request for Continued Examination (RCE)	
1802 900		1802 900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 950.00

SUBMITTED BY

Name (Print/Type)

H. Samuel Frost

Registration No.
(Attorney/Agent)

31,696

Telephone (416) 364-7311

Signature

Date

March 26, 2004

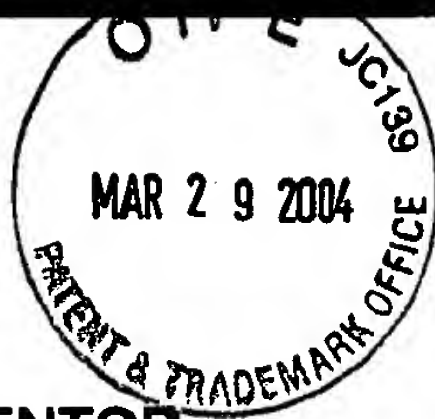
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March 26, 2004



H. Samuel Frost B.A. (Eng. Sci.), M.Sc. (Nucl. Eng.)
416 957 1687 sfrost@bereskinparr.com

Our Reference: 9351-15

SPELLING OF INVENTOR

Commissioner of Patents
P.O. Box 1450
Alexandria, Virginia
22313-1450 U.S.A.

Dear Sir:

Re: U.S. Patent Application No. 09/986,635
For: NOVEL UNITIZED REGENERATIVE FUEL CELL WITH BIFUNCTIONAL
FUEL CELL HUMIDIFIER AND WATER ELECTROLYZER
Applicant: Nathaniel Ian Joos et al.

Upon review of our file, it has come to our attention that the spelling of the first inventor, in some instances, has been spelled incorrectly. Please note that the correct spelling is "Nathaniel Ian Joos" as evidenced on the Notice of Recordation of Assignment Document, a copy of which is attached for your reference. Please enter this correction in your records.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "H. Samuel Frost".

H. Samuel Frost
Registration No. 31,696
/It

Please send all correspondence to the Mississauga office:

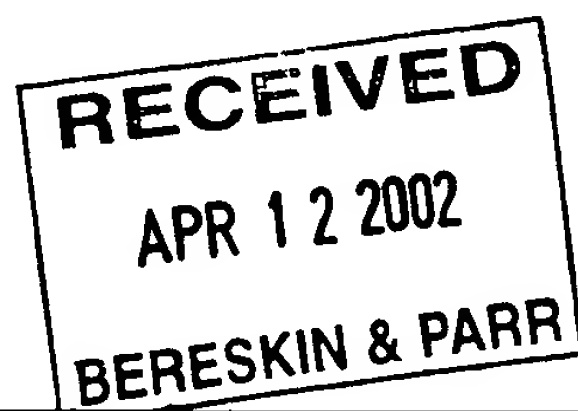
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UNITED STATES
PATENT AND
TRADEMARK OFFICE



MARCH 27, 2002

PTAS

9351-15

Chief Information Officer
Washington, DC 20231
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101967848A

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RECORDATION DATE: 01/25/2002

REEL/FRAME: 012519/0654
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

JOOS, NATHANIEL IAN

DOC DATE: 01/18/2002

ASSIGNOR:

FRANK, DAVID

DOC DATE: 01/18/2002

ASSIGNOR:

CARGNELLI, JOSEPH

DOC DATE: 01/18/2002

ASSIGNEE:

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MISSISSAUGA, ONTARIO L5R 1B8

SERIAL NUMBER: 09986635
PATENT NUMBER:

FILING DATE: 11/09/2001
ISSUE DATE:

012519/0654 PAGE 2

LAZENA MARTIN, EXAMINER
ASSIGNMENT DIVISION
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